

ROTHERHAM BOROUGH COUNCIL – REPORT TO SCHOOLS FORUM

1	Meeting:	Rotherham Schools Forum
2	Date:	3rd October 2014
3	Title:	Rotherham Total Schools Budget Outturn Report for 31 st March 2014
4	Directorate:	Resources – Financial Services Children and Young People's Services

5 Summary

This Budget Outturn Report provides a 2013/14 financial year end position for the Rotherham Total Schools Budget based on actual income and expenditure to the 31st March 2014.

The Total Schools Budget Outturn for 2013/14 (excluding Schools Delegated balances) shows an under spend of £1k. The outturn variances on each funding block are as follows:-

	£	
Schools Block	0.864m	under spend
High Needs Block	1.348m	over spend
Early Years Block	0.485m	under spend

6 Recommendations

That the Schools Forum receives and notes the Schools Budget financial outturn position for the year 2013/14 based on actual income and expenditure to the 31st March 2014.

7 Proposals and Details

7.1.1 The table below summarises the final outturn against agreed budgets:

Service	Revised Budget	Outturn Position to 31 st March 2014	Variations Overspend (+) Underspend (-)	
	£000	£000	£000	%
SCHOOLS BLOCK				
Delegated Schools Budgets	133,652	133,652	0	0
School Rates	2,414	2,111	-303	-12.55
Rotherham School Improvement Partnership	425	23	-402	-94.59
Behaviour Support Service	464	408	-56	-12.07
Children In Public Care	152	140	-12	-7.89
Education Welfare Central Attendance	80	96	16	20.00
SEN Assessment Team	29	39	10	34.48
CYPS Standards and Development	43	43	0	0
Operational Safeguarding Unit	45	45	0	0
Free School Meals Assessment	29	28	-1	-3.45
School Effectiveness Service	1,082	1,108	26	2.40
Training for Children with Medical Needs	46	43	-3	-6.52
Moving and Handling	46	43	-3	-6.52
Schools Contingency- Perm Exc Pupils	24	0	-24	-100.00
Schools Contingency- Redundancy	88	78	-10	-11.36
Schools Contingency- Growth Fund	-4	14	18	450.00
Schools Contingency- Un-earmarked	384	-51	-435	-113.28
Schools Contingency Carbon Reduction	212	302	90	42.45
SEN Transport to Extra District Schools	101	101	0	0
Trade Union Activities	45	36	-9	-20.00
Young People's Service	28	28	0	0
City Learning Centres	163	163	0	0
Grant Adjustment – over-allocated ISB's	-234	0	234	100.00
Total Schools Block	139,314	138,450	-864	-0.62
HIGH NEEDS BLOCK				
Special Schools Delegated Budgets	10,361	10,361	0	0
SEN Placements and top up funding	764	1,601	837	109.55
Primary Delegated - exceptional needs top up and SEN Units	1,239	1,239	0	0
Secondary Delegated – exceptional needs top up and SEN Units	479	479	0	0
Post 16-24 SEN Provision	920	1073	153	16.63
SEN Complex Needs Placements	1,416	1,706	290	20.48
SEN Extra District Placements	224	391	167	74.55
SEN Assessment Team	51	52	1	1.96
Commissioning Team – SEN Placements	19	19	0	0
Early Years ASD Support	92	68	-24	-26.09
Hearing Impaired Service	616	604	-12	-1.95
Visually Impaired Service	413	435	22	5.33
Learning Support and Autism Communication Service	587	545	-42	-7.16

Portage Service	201	213	12	5.97
Pupil Referral Units	1,898	1,898	0	0
Educated Other than at School	130	84	-46	-35.38
Educated Other than at School Transport	20	44	24	120.00
Hospital/Home Teaching/CAMHS Education	164	153	-11	-6.71
Broom Lane Centre	80	57	-23	-28.75
Children and Families Disability Team	49	49	0	0
Total High Needs Block	19,723	21,071	1,348	6.83
Service	Revised Budget	Outturn Position to 31st March 2014	Variations Overspend (+) Underspend (-)	
	£000	£000	£000	%
EARLY YEARS BLOCK				
Nursery Delegated Schools Budget	1,737	1,737	0	0
Primary Delegated Schools Budget	4,124	4,124	0	0
Private Voluntary and Independent Nursery Education (3 & 4 Year olds)	2,973	3,198	225	7.57
Early Years 2 Year Old Funding	2,528	1,890	-638	-25.24
Early Years Academy Payments/Adjustments	496	424	-72	-14.52
Total Early Years Block	11,858	11,373	-485	-4.09
TOTAL OUTTURN POSITION	170,895	170,894	-1	

7.1.2 Presented below is an analysis of the main variances against revised budgets on each funding block and the underlying reasons beneath them:

Schools Block (£864k under spend)

School Rates (£303k under Spend)

Under spend on revised rates budgets following confirmation of actual rates bills for Schools and in year EFA adjustments for rate relief on Schools converting to Academies.

Rotherham School Improvement Partnership (£402k under spend)

Underspend at financial year end pending resolution of issues. (Now paid in 2014/15)

Behaviour Support Service (£56k under spend)

Staff slippage of £105k and £5k under spend on transport costs following restructure of service offset by over spend on premises costs £7k and supplies and services £47k.

Children in Public Care (£12k under spend)

Staff slippage of £10k due to not filling a vacant post and under spends on premises costs of £7k and transport £2k offset by over spend on supplies and services £3k and shortfall of £4k on income target.

Education Welfare Central Attendance Team (£16k over spend)

Shortfall on income target used to cover funding gap on Anti-Bullying post and Children Missing Education (C.M.E) Officer post.

SEN Assessment Team (£10k over spend)

Shortfall on income target used to cover funding gap on Exclusions Officer post.

School Effectiveness Service (£26k over spend)

Over spends on staffing £24k, training £23k and supplies £12k, offset by additional income of £33k.

Schools Contingency (£361k under spend)

Under spends of £435k on un-earmarked contingency balance, £10k on redundancy budget and £24k surplus from Schools for excluded pupils. Offset by over spends of £18k on Pupil Growth fund and £90k on carbon commitment reduction budget.

Grant Adjustment (£234k over spend)

Over allocation of original Schools budget for those schools with SEN units attached in order to mitigate the loss of funding due to the High Needs pupil adjustment.

High Needs Block (£1,348m over spend)**SEN Placements and Top up Funding (837k over spend)**

Over spends on out of authority independent non maintained School placements £85k, specialist education equipment £110k over, other packages of support £15k over and over allocation of £627k for exceptional needs funding to Special and mainstream maintained schools.

Post 16-24 SEN Provision (£153k over spend)

Over spend on post 16 top up funding to Further Education Providers.

SEN Complex Needs Placements (£290k over spend)

Over spend on out of authority placements in independent non maintained Special Schools for pupils with statements of SEN.

SEN Extra District Placements (£167k over spend)

Over spend of £68k due to increase in cost for children placed in other local authority provision and £138k cost of unexpected hospital provision, offset by £39k income recouped from other local authorities for pupils in Rotherham Schools.

Early Years ASD Support (£24k under spend)

Under spend due to staff slippage following new appointments and not filling a vacant post.

Hearing Impaired Service (£12k under spend)

Under spend on staffing of £12k due to slippage following new appointments to vacant posts. Over spend on specialist equipment purchase and repair £14k, offset by additional income of £14k.

Visually Impaired Service (£22k over spend)

Staffing costs £18k over due to insufficient funding for staff increments and pay awards. Additional over spends on training £1k and specialist equipment £9k offset by additional income of £6k.

Learning Support and Autism Communication Service (£42k under spend)

Under spend on staff costs of £55k due to slippage following new appointments to vacant posts offset by shortfall in income target of £13k.

Portage Service (£12k over spend)

Staffing costs £14k over spend due to insufficient funding for staff increments and pay awards. Premises costs £3k over due to inadequate budget. Budget pressure offset by reduction in supplies and services £3k and an under spend on transport costs £2K.

Educated other than at school (£46k under spend)

Under spend on curriculum activities and supplies following restructure of the Pru service.

Educated other than at school – Transport (£24k over spend)

Over spend on transport costs for pupils within the Pru system.

Hospital Teaching, Home Tuition and CAMHS Education Service (£11k under spend)

Slippage on staffing costs following the restructure of the Pru service.

Broom Lane Centre (£23k under spend)

Under spend on staffing budget £29k following closure of facility in year offset by over spend on premises costs of £6k after final payments on utility bills.

Early Years Block (£485k under spend)**Private, Voluntary and Independent Nursery Education (£225k over spend)**

Over spend due to increase in take up of external nursery placements for 3 and 4 year old children.

Early Years – 2 Year Old Funding (£638k under spend)

Lower than expected take up of placements for 2 year olds. 2013/14 was the first year for delivering national eligibility criteria which made outturn difficult to determine.

Early Years Academy Payments/Adjustments (£72k under spend)

This represents the balance of unallocated Early Years funding following a lower than anticipated number of schools achieving the Quality Standard.

7.2.1 The agreed carry-forward balances are as follows:

Schools Block

- Rotherham School Improvement Partnership £401.5k (Allocated to Rotherham Schools in respect of Learners First Partnership Ltd work undertaken).
- Rates Budget £461.9k additional allocation based on projected valuation estimates.

High Needs Block

- Learning Support Service £25k. Under spend in 2013/14 due to additional training income generated by the service. Agreed in principle by the Strategic Director of Children and Young Peoples Services.

Early Years Block

- Underspend of £485.2k to be transferred to the High Needs Block to alleviate budget pressures in 2014-15.

8. Finance

Finance details are included in section 7 above.

8. Risks and Uncertainties

9. Policy and Performance Agenda Implications

10. Background Papers and Consultation

The outturn figures included in this report have been subject to internal quality assurance work on the Statement of Accounts undertaken during May 2014. The outturn figures however will be the subject of external audit verification during June/July. The Council's statement of accounts for 2013/14 has not yet been approved by the Audit Committee.

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